Date of Deposit 10/10/2001

10996 U.S. PTO 09/975460

Our Case No.: 10022/187

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Fano

Serial No.: To Be Assigned

Filing Date: Herewith

For:

A SYSTEM FOR LOCATION-

BASED FILTERING OF ITEMS OF INTEREST FOR A RETAILER

**BASED AGENT** 

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
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5,602,997	2/11/1997	Carpenter et al.
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"Client/Server Programming with OS/2 2.0," pp. 10-24, 111-123, 125-137, 149-160 and 588-594 (1992)

Paulina Borsook, "Seeking Security," State of the Art, 18, No. 6, pp. 119-122, 124, 126 and 128 (1993)

Robert Orfali and Dan Harkey, "Client/Server With Distributed Objects," *Special Report, Client/Server Computing*, 20, No.4, pp. 151-152, 154, 156, 158-160 and 162 (1995)

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Bradley J. Rhodes and Thad Starner, "Remembrance Agent, A Continuously Running Automated Information Retrieval System," published in, *The Proceedings of the First International Conference on The Practical Application of Intelligent Agents and Multi Agent Technology*, pp. 487-495 (1996)

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Copy of application as filed, entitled "A System, Method and Article of Manufacture for Location-Based Filtering For A Shopping Agent in the Physical World," serial no. 09/259,902, filed 02/26/1999, Our Ref. 10022/145.

Copy of application as filed in Taiwan, entitled, "A System, Method and Article of Manufacture for Advanced Information Gathering for Targeted Activities," serial no. 89103627, filed 02/29/2000, Our Ref. 10022/146.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

David Rozehblat

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